



## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## RECEPTOR SPECIFIC TRANSEPITHELIAL TRANSPORT OF THERAPEUTICS

the specification of which is attached hereto unless the following is checked:

[x] was filed on July 24, 1997, as United States Application No. 08/899,856 or PCT  
(Include Series Code)  
International Application No. \_\_\_\_\_, bearing attorney docket No. \_\_\_\_\_,  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a), (b):

(Number)	(Country-if PCT, so indicate)	(DD/MM/YY Filed)	Priority Claimed
			<input type="checkbox"/> YES <input type="checkbox"/> NO
(Number)	(Country)	(DD/MM/YY Filed)	<input type="checkbox"/> YES <input type="checkbox"/> NO
(Number)	(Country)	(DD/MM/YY Filed)	<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

_____ (Application Number)	_____ (filing date)
_____ (Application Number)	_____ (filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>08/578,171</u> (Application No.)	<u>12/29/95</u> (filing date)	<u>pending</u> (status-patented, pending, abandoned)
<u>08/364,159</u> (Application No.)	<u>01/17/95</u> (filing date)	<u>pending</u> (status-patented, pending, abandoned)

PCT International Applications designating the United States:

(PCT Appl. No.)	(U.S. Ser. No.)	(PCT filing date)	(status-patented,pending,abandoned)
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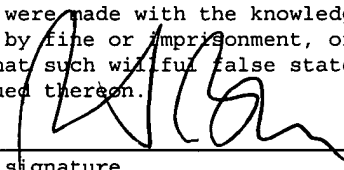
I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David Wolf	17,528	James H. Morris	34,681	Douglas C. Doskocil	39,660
George L. Greenfield	17,756	Peter C. Lando	34,654	John R. Van Amsterdam	40,212
Stanley Sacks	19,900	Gary S. Engelson	35,128	Matthew B. Lowrie	38,228
Edward F. Perlman	28,105	Peter J. Gordon	35,164	Jodi-Ann McLane	36,215
Lawrence M. Green	29,384	Randy J. Pritzker	35,986	Michael G. Verga	39,410
Steven J. Henry	27,900	Richard F. Giunta	36,149	Robert E. Rigby, Jr.	36,904
Therese A. Hendricks	30,389	Douglas R. Wolf	36,971	Robert A. Skrivanek, Jr.	41,316
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Ronald J. Kransdorf	20,004	John N. Anastasi	37,765	Lindsay G. McGuinness	38,549
M. Lawrence Oliverio	30,915	Helen C. Kindregan	39,248	Mike W. Crosby	40,970
James J. Foster	30,052	James M. Hanifin, Jr.	39,213	Ivan D. Zitkovsky	37,482
Charles E. Pfund	17,030	Christopher S. Schultz	37,929	Michele J. Young	P-43,299
Jason M. Honeyman	31,624	Paul D. Sorkin	39,039	Edward J. Russavage	P-43,069
				Alan B. Sherr	P-42,147

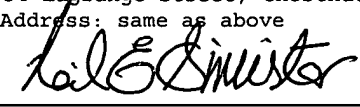
Address all telephone calls to Edward R. Gates at telephone no. (617) 720-3500. Address all correspondence to

Edward R. Gates  
c/o Wolf, Greenfield & Sacks, P.C.,  
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600 Atlantic Avenue  
Boston, MA 02210-2211

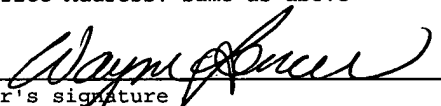
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

  
\_\_\_\_\_  
Inventor's signature  
Full name of sole or first inventor Richard S. Blumberg  
Citizenship US  
Residence (City and State, or City and Country for non-U.S. residence)  
34 Lagrange Street, Chestnut Hill, MA 02167  
Post Office Address: same as above

3/19/98.  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Inventor's signature  
Full name of second or joint inventor Neil E. Simister  
Citizenship UK  
Residence (City and State, or City and Country for non-U.S. residence)  
19 Aron Road, Wellesley, MA 02181  
Post Office Address: same as above

3.19.98  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Inventor's signature  
Full name of sole or first inventor Wayne I. Lencer  
Citizenship US  
Residence (City and State, or City and Country for non-U.S. residence)  
60 Louder Lane, Jamaica Plain, MA 02130  
Post Office Address same as above

3/19/98  
\_\_\_\_\_  
Date



Attorney's Docket No. B0801/7082 (ERG)

Applicant or Patentee: Richard S. Blumberg, Neil E. Simister, and Wayne I. Lencer  
Serial or Patent No.: 08/899,856  
Filed or Issued: July 24, 1997  
For: RECEPTOR SPECIFIC TRANSEPITHELIAL TRANSPORT OF  
THERAPTEUTICS

**DECLARATION CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(e) and 1.27(d) - NONPROFIT ORGANIZATION)**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: Brigham and Women's Hospital, Inc.  
ADDRESS OF ORGANIZATION: 75 Francis Street, Boston, MA 02115

**TYPE OF ORGANIZATION:**

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c) (3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATION UNDER STATUTE OR STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE )  
(CITATION OF STATUTE)  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c) (3) IF LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATION UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE)  
(CITATION OF STATUTE)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code with regard to the invention entitled RECEPTOR SPECIFIC TRANSEPITHELIAL TRANSPORT OF THERAPTEUTICS by inventor(s), Richard S. Blumberg, Neil E. Simister, and Wayne I. Lencer described in

- ☐ the specification filed herewith  
☒ application serial no 08/899,856 filed July 24, 1997  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

☒ no such person, concern, or organization  
☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

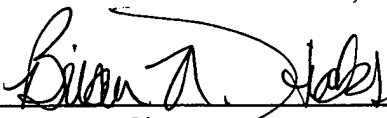
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

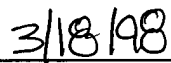
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Brian Hicks

TITLE IN ORGANIZATION: Director

ADDRESS OF PERSON SIGNING 75 Francis Street  
 Boston, MA 02115

  
 Signature

  
 Date